

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. ROC9-2001-0062-US1	SERIAL NO. (Unassigned) 1c971 U.S. PTO 09/954612
Page 1 of 2	APPLICANT: James A. Hagan, et al.	
	FILING DATE: (Herewith)	GROUP: (Unassigned)

REFERENCE DESIGNATION										U.S. PATENT DOCUMENTS				
EXAMINER INITIALS		DOCUMENT NUMBER								DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AD	AA	6	1	7	4	2	2	2	1/16/2001	Sato, et al.	451	44	5/28/1996	
	AB	5	9	4	4	5	8	4	8/31/1999	Toyama	451	41		
	AC	5	9	2	8	0	6	6	7/27/1999	Hasegawa, et al.	451	173		
	AD	5	7	2	7	9	9	0	3/17/1998	Hasegawa, et al.	451	44		
	AE	4	0	3	1	6	6	7	6/28/1977	Schededt	51	105		
AD	AF	3	8	3	4	0	8	3	9/10/1974	Hoshi, et al.	51	57		
	AG													
	AH													
	AI													
	AJ													
	AK													

FOREIGN PATENT DOCUMENTS															
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
													YES	NO	
AD	AL	5	0	2	3	9	6	1	2/02/1993	Japan	B24	B9/10	Abstract only		
AD	AM	4	0	6	9	1	5	0	6/24/1992	Japan	B24	B9/08	Abstract only		
AD	AN	1	0	0	5	7	5	9	1/10/1989	Japan	B24	B9/00	Abstract only		
	AO														
	AP														

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
AD	AR	M. G. Schwabel et al, "Fixed Abrasive Grinding of Glass", published in <u>Finishing of Advanced Ceramics and Glasses</u> (R. Sabia, et al, editors; American Ceramic Society, 1999; pp. 175-183) ✓
AD	AS	N. S. Ong et al., "Semi-Ductile Grinding and Polishing", <u>Journal of Materials Processing Technology</u> 83 (1998), pp. 261-266.
AD	AT	M. C. Shaw, <u>Principles of Abrasive Processing</u> , Clarendon Press (1996), pp. 552-558. ✓

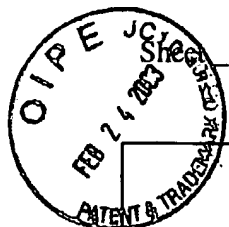
EXAMINER <i>Anthony Ojini</i>	DATE CONSIDERED <i>4/4/03</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08A

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number.: 09/954,812
 Filing Date: September 17, 2001
 First Named Inventor: Hagen
 Art Unit: 3723
 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920010062US1



Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
<i>AD</i>		US - 6,045,436	04/04/2000	Rieger et al.	
<i>AD</i>		US - 6,319,100	11/20/2001	Denda et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶
<i>AD</i>		WO 00/64631	11/02/2000	Visser		
<i>AD</i>		WO 01/10595 A1	02/15/2001	Homola et al.		
<i>AD</i>		EP 1 033 351 A1	09/06/2000	Bajorek et al.		

Examiner Signature	<i>Anthony Ajini</i>	Date Considered	<i>4/4/03</i>
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¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
ROC9-2001-0062-US1

SERIAL NO. (Unassigned)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENT

APPLICANT: James A. Hagan, et al.

(Use several sheets if necessary)

Page 2 of 2

FILING DATE: (Herewith)

GROUP: (Unassigned)

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
	BA						
	BB						
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	BI						
	BJ						
	BK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	BL							
	BM							
	BN							
	BO							
	BP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

10	BR	M. J. Ball, et al., "Electrolytically Assisted 'Ductile' Mode Diamond Grinding of BK7 and SF10 Optical Glasses", SPIE Vol. 1573 (1991); pp. 30-38.
	BS	
	BT	

EXAMINER

Anthony Qjini

DATE CONSIDERED

4/4/03

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Sheet 1 of 1

Application Number.: 09/954,812
 Filing Date: September 17, 2001
 First Named Inventor: Hagan
 Art Unit: 3723
 Examiner Name: E. Ojini
 Attorney Docket Number.: ROC920010062US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US -			
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶
<i>AO</i>		GB 2 337 712 A	12-01-1999			
<i>AO</i>		EP 0 759 339 A (Abstract)	02-26-1997			

Examiner Signature	<i>Anthony Ojini</i>	Date Considered	<i>10/1/04</i>
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